

Advanced carbon as emerging energy materials in lithium batteries: A theoretical perspective

Legeng Yu | Xiang Chen | Nan Yao | Yu-Chen Gao | Yu-Hang Yuan |
Yan-Bin Gao | Cheng Tang | Qiang Zhang 

Tsinghua Center for Green Chemical Engineering Electrification (CCEE), Beijing Key Laboratory of Green Chemical Reaction Engineering and Technology, Department of Chemical Engineering, Tsinghua University, Beijing, the People's Republic of China

Correspondence

Xiang Chen and Qiang Zhang, Tsinghua Center for Green Chemical Engineering Electrification (CCEE), Beijing Key Laboratory of Green Chemical Reaction Engineering and Technology, Department of Chemical Engineering, Tsinghua University, Beijing 100084, the People's Republic of China.

Email: xiangchen@tsinghua.edu.cn and zhang-qiang@mails.tsinghua.edu.cn

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Abstract

Lithium batteries are becoming increasingly vital thanks to electric vehicles and large-scale energy storage. Carbon materials have been applied in battery cathode, anode, electrolyte, and separator to enhance the electrochemical performance of rechargeable lithium batteries. Their functions cover lithium storage, electrochemical catalysis, electrode protection, charge conduction, and so on. To rationally implement carbon materials, their properties and interactions with other battery materials have been probed by theoretical models, namely density functional theory and molecular dynamics. This review summarizes the use of theoretical models to guide the employment of carbon materials in advanced lithium batteries, providing critical information difficult or impossible to obtain from experiments, including lithiophilicity, energy barriers, coordination structures, and species distribution at interfaces. Carbon materials under discussion include zero-dimensional fullerenes and capsules, one-dimensional nanotubes and nanoribbons, two-dimensional graphene, and three-dimensional graphite and amorphous carbon, as well as their derivatives. Their electronic conductivities are explored, followed by applications in cathode and anode performance. While the role of theoretical models is emphasized, experimental data are also touched upon to clarify background information and show the effectiveness of strategies. Evidently, carbon materials prove promising in achieving superior energy density, rate performance, and cycle life, especially when informed by theoretical endeavors.

KEY WORDS

carbon energy materials, density functional theory, electrode materials, lithium batteries, molecular dynamics

1 | INTRODUCTION

Due to the growing demand for electric vehicles (EVs), large-scale energy storage systems, and portable

electronics, lithium (Li) batteries play an increasingly vital role in modern societies.^{1–3} On the one hand, thanks to their efficient “rocking chair” mechanism, Li-ion batteries (LIBs) have become the dominant energy storage

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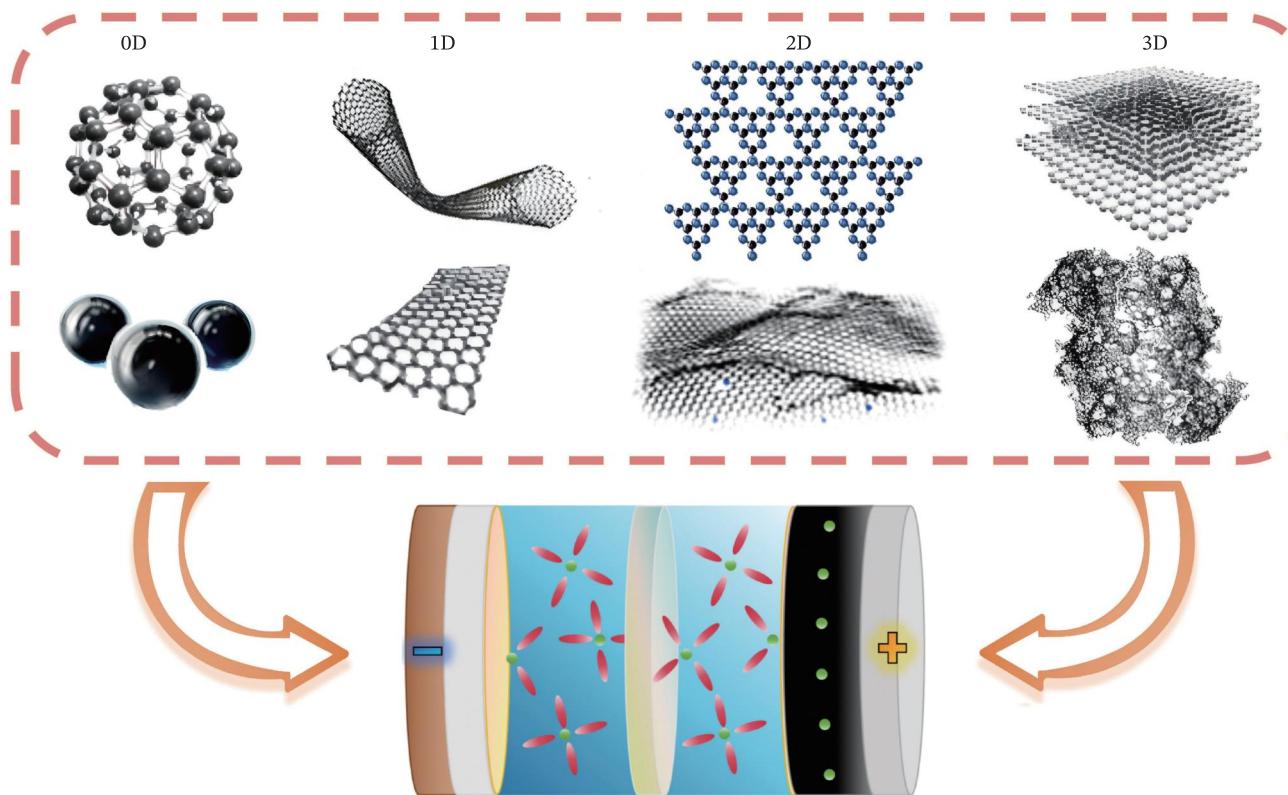


FIGURE 1 Application of carbon materials in Li batteries. From left to right, first column: 0D fullerene (upper) and carbon capsules (lower). Second column: 1D CNT (upper) and GNR (lower). Third column: 2D graphitic carbon nitride ($\text{g-C}_3\text{N}_4$, upper) and doped/defective graphene (lower). Fourth column: 3D graphite crystal (upper) and non-crystalline carbon (lower).

solution for commercial EVs and electronic products due to their relatively high energy density and cyclability.^{4–12} Nevertheless, new materials and strategies are being actively developed to improve the rate performance and temperature tolerance of LIBs.^{13–16} On the other hand, Li metal batteries (LMBs), including Li-S, Li-air, and all-solid-state LMBs, are being revived and improved to unlock higher theoretical capacities by accommodating more active material in the anode (3860 mAh g^{-1} for Li metal anode compared to only 372 mAh g^{-1} for graphite anode).^{17–28}

To optimize the energy density, rate performance, and cycle life of Li batteries, carbon materials have become a significant part in the rational design of rechargeable Li batteries. Some applications of carbon materials are fundamental, such as storing Li^+ by allowing intercalation in LIB anode²⁹ and hosting Li metal deposition in LMBs,^{30,31} as well as conducting electrons in sulfur (S) cathode.³² Some applications are auxiliary, such as electrolyte additives,³³ protective barriers for electrodes,³⁴ and separator coating.³⁵

During the development of these strategies involving carbon materials, theoretical studies employing density functional theory (DFT) and molecular dynamics

(MD) play a crucial role in rationally identifying suitable materials and elucidating their working mechanisms. As a quantum mechanical model capable of revealing electron distribution in multiatomic systems by solving the Kohn–Sham equations, DFT has been widely used to determine the stability of materials^{36–39} and affinity between species,^{40,41} as well as energy change in reaction pathways.⁴² Physical movements of atoms and molecules can be further modeled by time-integrating Newton's second law in MD simulations, including ab initio molecular dynamics (AIMD) and classical MD,^{43,44} in order to determine equilibrium properties^{45–47} or transition processes of atomic systems.^{48–50} Although these theoretical models have been widely developed and applied in materials science,^{51–59} a comprehensive review from the perspective of theoretical studies on the application of carbon materials in Li batteries is lacking.

In this contribution, we summarize the use of DFT and MD in determining the properties of carbon materials in Li battery technology as well as their interaction with electrode materials and electrolytes. Relevant carbon materials include zero-dimensional (0D) fullerenes and carbon capsules, one-dimensional (1D) carbon nanotubes (CNTs) and graphene nanoribbons (GNRs),

two-dimensional (2D) graphene and its derivatives, and three-dimensional (3D) graphite and non-crystalline carbons (Figure 1). Non-crystalline carbon materials, such as soft carbon and hard carbon, are included. Soft carbon consists of slightly loose carbon sheets graphitizable by heat treatment over 2000°C.⁶⁰ Hard carbon, not graphitizable even at 3000°C, consists of highly disordered and curved carbon walls forming large pores, which is typically suitable for sodium (Na) storage.^{60,61} First, the electronic structures and conductivity of carbon materials will be explored in Section 2, which is fundamental for understanding their functions in Li batteries. Second, the application of carbon materials in cathode and anode will be discussed in Sections 3 and 4, respectively, with an emphasis on theoretical modeling at an atomic scale. Facts and insights obtained from experimental results are also peripherally touched upon to illustrate background information and practical performances. Lastly, the conclusion section summarizes the applications of carbon materials in Li batteries and the role of DFT and MD in the discovery and development of these materials.

2 | ELECTRONIC STRUCTURES OF CARBON MATERIALS

The small size of carbon atom (C atom) and its unique electronic configuration, $1s^2 2s^2 2p^2$, allow the element to form various allotropes, each of which possesses unique electronic conductivities. Generally, carbon materials conduct electrons because when C atoms are sp^2 hybridized the π electrons are delocalized and can move across the C atom plane. However, the mobility of these π electrons is determined by the different atomic arrangements in carbon materials. This section will explore the electronic conductivities of various carbon materials.

2.1 | 0D carbon materials

0D fullerenes, represented by the famous buckminsterfullerene C_{60} , can be regarded as molecular semiconductors thanks to their entirely sp^2 hybridized C atoms.⁶² However, the electronic conductivity of fullerenes can be regulated significantly under various circumstances. The bandgap of C_{60} was estimated to be 1.6–1.7 eV according to DFT calculations.⁶³ Even though some fullerenes theoretically possess near-zero bandgaps (e.g., C_{74} with a bandgap of 0.05 eV), they are kinetically unstable and polymerize readily.⁶³ However, C_{60} and C_{70} can be modulated into conductors by doping with alkali metals, which partially fill the energy bands from the π orbitals, achieving electronic conductivities of 2–500 S cm^{−1}^{64,65}.

Superconductivity in modified fullerenes has also been reported,^{66,67} but it is still out of the temperature range for practical application in various batteries. For instance, C_{60} doped with cesium (Cs) and rubidium (Rb) renders a transition temperature of only 33 K, which is already among the highest transition temperatures in similar systems.^{67,68}

While these fullerenes can be regarded as 0D carbon materials at the nanoscale, carbon capsules can be regarded as 0D materials at a macroscale of hundreds of nanometers. They typically consist of walls of mainly disordered graphene/graphite layers, which are capable of conducting electrons especially when doped with nitrogen (N) and nickel (Ni).^{69–71} The electronic conductivity of graphite and amorphous carbon will be discussed in more detail in Section 2.4.

2.2 | 1D carbon materials

GNRs are 1D carbon materials characterized by one-atom-thick narrow strips of graphene.^{72–74} Based on the angle θ between the GNR long axis and the zigzag crystallographic direction of the graphene lattice, GNRs can be classified into zigzag graphene nanoribbons (ZGNRs) for $\theta = 0^\circ$, chiral GNRs for $0^\circ < \theta < 30^\circ$, and armchair graphene nanoribbons (AGNRs) for $\theta = 30^\circ$.⁷⁵ Due to the quantum confinement effect, most GNRs possess a width-dependent non-zero bandgap,^{76–78} in contrast to bulk graphene. As the GNR width increases, the bandgap decreases and eventually approaches 0, which is the bandgap of 2D graphene (Figure 2A).⁷⁹ Consequently, wide (130–250 nm) and nearly defect-free GNRs have been experimentally demonstrated to exhibit good electronic conductivities of ~ 700 –950 S cm^{−1}.⁸⁰ On top of this general trend, the bandgap is also affected by the remainder of the number of rows divided by 3, where a remainder of 1 exhibits the largest bandgaps for AGNRs.⁷⁵ The type of edge of the GNR also has a significant impact on its bandgap, as ZGNRs possess a bandgap between that of $3p + 2$ and $3p + 1$ family AGNRs (Figure 2A).⁷⁵

Despite having a non-zero bandgap, ZGNRs can theoretically be made half-metallic under a transverse electric field.⁸¹ This phenomenon is based on the fact that the charge densities of the two spin states are different at the two edges of a ZGNR, which is revealed by spin-resolved DFT calculations.⁸¹ Under a transverse electric field, the energy levels at the two edges are shifted in opposite directions.⁸¹ As a result, states of only one spin orientation will lie at the Fermi energy level (E_F), which enables the conduction of a spin-polarized electrical current.⁸¹ Another intriguing advantage of electron

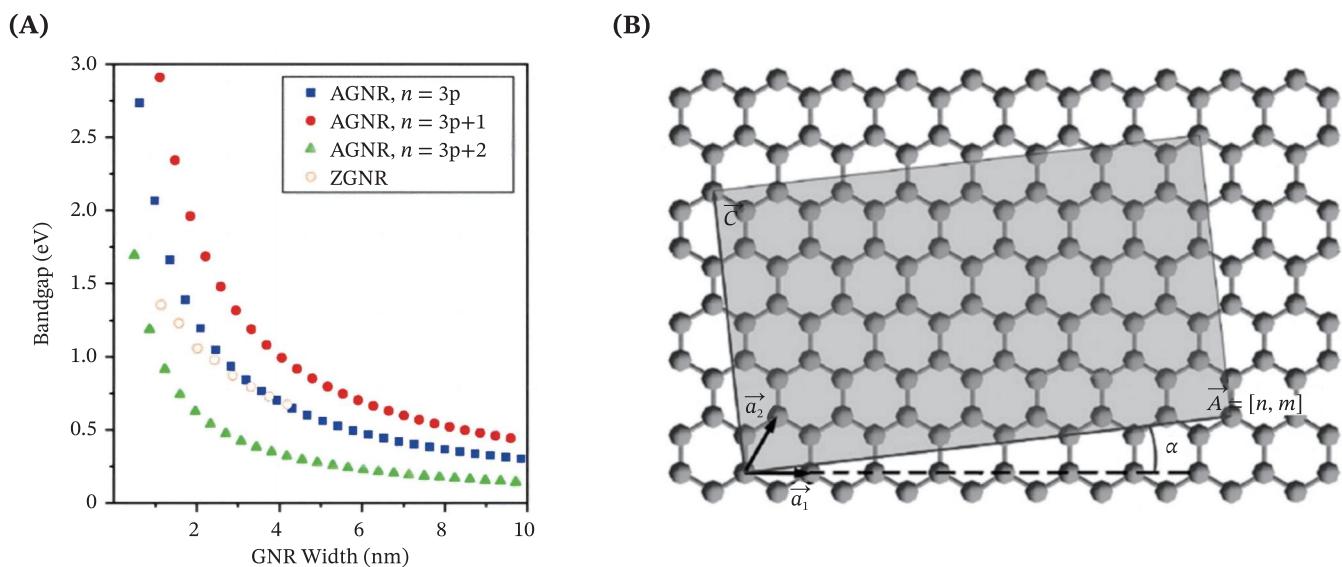


FIGURE 2 Bandgaps in GNRs and chirality of CNTs. (A) Bandgap in AGNRs and ZGNRs with respect to width. The number of rows of C atoms along the width is denoted by n , and p is an integer. As the width of GNR increases, the bandgap approaches 0, which is the bandgap of graphene. Reprinted with permission.⁷⁵ Copyright 2021, American Chemical Society. (B) Illustration of CNT chirality before rolling up. \mathbf{a}_1 and \mathbf{a}_2 are basis vectors of the graphene plane. $\mathbf{A}, (1, 7)$ in this example, represents the perimeter of the CNT after rolling up. \mathbf{c} represents the direction of the CNT axis. α is the helical angle. Reprinted with permission.⁸⁴ Copyright 2007, the Owner Societies.

conduction in GNRs is the possibility of ballistic transport, where electrons are transported without scattering or conductive heating.⁸² Such efficient transport has been realized in epitaxial GNRs on a length scale greater than 10 μm .⁸³

CNTs consist of rolled-up sheets of single-layer C atoms (graphene) in the sp^2 hybridized state. The orientation and width of the graphene strip to be rolled up are described by the chiral indices, a vector representing the base of the graphene strip taken out from an infinite graphene sheet (Figure 2B).⁸⁴ Zigzag CNTs have chiral indices in the form of $(m, 0)$, while the chiral indices for armchair CNTs have the form (m, m) .^{84,85} Due to the variety of diameters and helicity of C atoms, accurately measuring the electronic conductivity of individual CNTs can be challenging, and the results will vary to a large extent based on the geometry.⁸⁶ Depending on the diameter and geometric chiral angle, CNTs can appear to be metallic or semiconducting.⁸⁵ Zigzag CNTs with a chiral index m that is a multiple of 3 are metallic, and their electronic conductivity decreases with increasing diameter, approaching an asymptotic value of the conductivity of graphene.⁸⁵ Other zigzag CNTs are semiconducting, exhibiting a trend of increasing conductivity with diameter, approaching the same asymptote.⁸⁵ In contrast, armchair CNTs are conductors at any m , and the conductivity decreases with increasing diameter, approaching the same asymptote.⁸⁵

It is worth noting that similar to GNRs, single-walled CNTs can also theoretically exhibit ballistic electron transport, where electrons propagate efficiently along the axis of the tube without scattering or conductive heating.^{87,88} The excellent electronic conductivity of single-walled CNTs make them ideal candidates for electrode conductive fillers.⁸⁹⁻⁹¹ Moreover, according to DFT calculations, N-doping can further enhance the electronic conductivity of semiconducting CNTs by closing or shortening the bandgap.⁹²

2.3 | 2D carbon materials

Graphene is a 2D carbon allotrope consisting of only one layer of sp^2 hybridized C. It exhibits a unique band structure known as the Dirac cone, which simultaneously resembles a semiconductor with zero density of states and a metal with no gap between the valence and conduction band.⁹³ Thanks to the zero bandgap, graphene affords an extraordinary electronic conductivity of up to 10^6 S cm^{-1} .⁹⁴ Ballistic transport of electrons in graphene has also been observed experimentally, where electrons can propagate without scattering over micrometers of lengths.⁹³ While the in-plane conductivity of graphene is isotropic⁸⁵ and high, it is relatively difficult for electrons to be transported from one graphene flake to another. When fabricated into macroscopic conductor materials,

graphene displays a reduced conductivity depending on the in-plane conductivity, out-of-plane connections, packing density, and flake size.⁹⁴ To enhance the conductivity of graphene, doping can be introduced. For instance, pyrrolic N can improve the electronic conductivity by providing delocalized electrons while maintaining the sp^2 hybridized graphitic structure.⁹⁵ With proper defect-engineering and doping strategies, macroscopic graphene-based nanomaterials can render an electronic conductivity on the same order of magnitude as that of copper (Cu) and silver (Ag).⁹⁴

In contrast to graphene, the 2D compound material g-C₃N₄ possesses a poor electronic conductivity with a bandgap of ~ 2.7 eV.⁹⁶ The conductivity of g-C₃N₄ can be improved by several doping strategies.⁹⁷ For instance, phosphorus (P)-doping has been reported to improve g-C₃N₄'s conductivity by 4 orders of magnitude, achieving a conductivity above 10⁻⁹ S cm⁻¹.⁹⁸ Besides, according to DFT calculations, C self-doping by substituting bridging N atoms (i.e., replacing those N atoms singly bonded to three C atoms with C atoms) can create delocalized big π -bonds and reduce the bandgap of g-C₃N₄, improving its electronic conductivity.⁹⁹ Bromine (Br)-doping¹⁰⁰ and C-Fe co-doping¹⁰¹ have also been reported to narrow the bandgap and improve electronic conductivity.

2.4 | 3D carbon materials

Theoretically, a perfect graphite crystal consists of only graphene layers stacked in a regular ABAB pattern. Given the structural anisotropy, its electrical conductivity also varies with the direction of charge transfer. Similar to graphene, the conduction band slightly overlaps the valence band in graphite,¹⁰² allowing electron transport within the layer plane. However, it is relatively difficult for electrons to be transported from one graphene layer to the next, so the electronic conductivity perpendicular to the layer planes is only about a hundredth of that along the layer planes.¹⁰² The temperature dependence is another intriguing fact about the electronic conductivity of graphite. While metals exhibit reduced electronic conductivity with increasing temperature due to electron scattering, graphite exhibits this trend only at very low temperatures.¹⁰² As the temperature gets higher, its conductivity levels off¹⁰² and eventually rises,¹⁰³ since more electrons are excited to the conduction band as charge carriers. Intercalation also determines the electronic conductivity of graphite for two reasons. First, the Fermi surface and electron-phonon coupling can be drastically modified upon intercalation due to the distancing of the graphene layers.¹⁰⁴ Second, these intercalates can interact with the graphene layers by donating or accepting

electrons.¹⁰⁴ The conductivity of an intercalated graphite is estimated to reach about three times that of copper at room temperature, based on first-principles calculations within a tight-binding framework.¹⁰⁴

In less crystalline 3D carbon materials, the electronic conductivity is more complex and depends on microscopic structure and macroscopic morphology. In natural graphite sheets formed by compressing exfoliated natural graphite, the through-plane conductivity ranges from 3 to 25 S cm⁻¹ while the in-plane conductivity ranges from 500 to 1700 S cm⁻¹, mainly depending on sheet density.¹⁰⁵ Introducing sp^3 C atoms makes the structure even more amorphous and more rigid. Since the σ - σ^* transition poses a huge energy gap (5.5 eV bandgap for diamond), amorphous carbons typically exhibit poorer electronic conductivity (e.g., typically 10⁻⁹–10⁻³ S cm⁻¹ at room temperature) and less conductivity anisotropy¹⁰³ than graphite, depending on the amount and distribution of sp^2 sites.¹⁰⁶ To be transported in an amorphous carbon material, electrons need to either hop between neighboring sp^2 islands embedded in an sp^3 matrix or hop in the band tails.¹⁰⁶

3 | THE APPLICATION OF CARBON IN LITHIUM BATTERY CATHODE

3.1 | 0D carbon

Although relatively uncommon, the possibility of applying 0D fullerenes in Li battery cathodes has been investigated from a few aspects. On the one hand, C₆₀ has been confirmed to be a valid organic cathode active material by allowing Li⁺ intercalation.¹⁰⁷ DFT calculations reveal that each C₆₀ molecule can accommodate 3 Li⁺ while staying stable, which is consistent with 3 plateaus observed in galvanostatic intermittent titration tests.¹⁰⁷ Initially, 0D fullerenes were thought to be unfit for the cathode material because their reduction products dissolve readily in solvents such as propylene carbonate.¹⁰⁸ However, an ether-based electrolyte solution was later proposed to be compatible with C₆₀ cathode, namely Li bis(trifluoromethane)sulfonimide (LiTFSI) in dimethyl ether and dioxolane, exhibiting a capacity of 90 mAh g⁻¹ after 50 cycles and a rate performance of 77 mAh g⁻¹ at 500 mA g⁻¹.¹⁰⁷ Acetylene black was added as the conductive agent in this cathode, and the aluminum (Al) foil current collector was also coated with conductive carbon.¹⁰⁷

On the other hand, C₆₀ can be used as a supplementary material to enhance the performance of other active cathode materials. Transition metal oxides are popular

active cathode materials due to their excellent energy density, bulk stability, and conductivity,¹⁰ but they suffer from poor interfacial stability in contact with a liquid electrolyte when charged to a high potential (e.g., 4.4 V vs. Li/Li⁺), marked by electrolyte decomposition, gas evolution, dissolution of transition metal ions, and solid phase transformation.³³ To protect the cathode from such aging phenomena, malonic acid-decorated C₆₀ has been demonstrated as a valid electrolyte additive that suppresses interfacial side reactions by scavenging water molecules and superoxide radicals while forming a protective interfacial layer via polymerization with ethylene carbonate (EC) molecules.³³ Alternatively, the cathode can be directly coated with a thin film of polymerized C₆₀ through plasma-assisted thermal evaporation, which prevents side reactions and transition metal dissolution while enhancing interfacial kinetics.¹⁰⁹ Another promising active cathode material is elemental S thanks to its ultrahigh energy density and elemental abundance, but it suffers from the notorious polysulfide shuttle effect, where intermediate polysulfide ions dissolve into the electrolyte and migrate back and forth between the cathode and the anode, leading to self-discharge and capacity fading.¹¹⁰ To inhibit this shuttle effect, C₆₀ can be made into an interlayer of nanorods placed between the separator and the S cathode, which blocks the diffusion passage of Li polysulfides by physical adsorption and chemical bonding and confines them within the cathode region.¹¹¹

Alternatively, S-containing species can be confined in carbon capsules¹¹² with porous sidewalls, inside which soluble Li polysulfides may be trapped and converted into insoluble polysulfides.⁷¹ Such carbon capsules can be doped with S and N to enhance the chemisorption of Li polysulfides.⁷¹ Besides, they also help the electron transport to the elemental S inside, providing an electronic conductivity of 47 S cm⁻¹.⁷¹ Additionally, single-atom Ni–N₅ catalytic sites have been further introduced into carbon capsules, which can accelerate the otherwise sluggish Li polysulfide redox conversion kinetics.⁷⁰

3.2 | 1D carbon materials

1D carbon materials, namely GNRs and CNTs, have the potential to work with active cathode materials that would perform poorly if used alone. For example, V₂O₅ is a potential cathode material with an outstanding capacity of 294–440 mAh g⁻¹, which is much higher than that of routine cathodes like LiCoO₂ (theoretically 274 mAh g⁻¹ and practically 165 mAh g⁻¹ at a charge cut-off voltage of 4.35 V¹⁰) and LiFePO₄ (170 mAh g⁻¹).¹¹³ However, it suffers from degradation upon Li⁺ diffusion and poor electronic conductivity.¹¹³ By loading 40 wt% nanocrystalline

V₂O₅ in a framework of GNRs, the cathode can be stabilized and made conductive, achieving a high capacity of 278 mAh g⁻¹ at 0.1 C.¹¹³ Moreover, N-doped GNRs can be combined with S cathode to inhibit the shuttle effect by trapping soluble Li polysulfide intermediates around the N doping sites.¹¹⁴ The binding effect of different types of N-doped GNRs with Li polysulfides has been systematically investigated using DFT (Figure 3).¹¹⁴ Pyrrolic N is shown to provide an extra binding energy of 1.12–1.41 eV, while pyridinic N provides an extra binding energy of 0.55–1.07 eV.¹¹⁴

Since N is not the only element that can afford strong binding with Li sulfides, the effect of other doping elements has been systematically investigated in a similar setting with DFT. Figure 4A exhibits a variety of dopant elements, including boron (B), N, oxygen (O), fluorine (F), P, S, and chlorine (Cl), under possible chemical environments in a GNR.¹¹⁵ As revealed by binding energy calculations, N or O dopant significantly enhances the binding between the GNR and Li sulfides through dipole–dipole electrostatic interaction (Figure 4B).¹¹⁵ By contrast, B, F, S, P, and Cl monodopants result in a weakened binding.¹¹⁵ A volcano plot (Figure 4C) can be achieved by mapping the binding energies against the electronegativities of the dopant elements in the second period, where the binding strength reaches a peak with N and O but drops with F.¹¹⁵ Despite the strong electronegativity of F and thus its electron-withdrawing capability from C through the σ -bond, its filled *p* orbitals release some of the negative charge back to C, resulting in a weakened electrostatic attraction with Li sulfides.¹¹⁵

Beyond mere binding with Li sulfides, the function of heteroatom-doped GNRs extends to electrochemically catalyzing the polysulfide reduction reactions. Polysulfide conversion from soluble Li₂S₄ to solid Li₂S accounts for 75% of the total discharge capacity but suffers from sluggish kinetics.⁴² To elucidate the mechanisms of this complex conversion involving 6 electron transfers and design electrocatalysts correspondingly, DFT models have been systematically established for the calculation of Gibbs free energies in the intermediate steps.⁴² Six reaction pathways are proposed (Figure 5A): two pathways are symmetric, involving the formation of Li₂S₂ from Li₂S₄; four pathways are asymmetric, involving the direct formation of a quarter of the final product Li₂S from L₂S₄.⁴² The Gibbs free energy evolution depends on the reaction pathway and the dopant atom (Figure 5B,C).⁴² The adsorption Gibbs free energy of Li polysulfide radicals largely determines the reaction overpotential and rate-determining step.⁴² This understanding of polysulfide electrochemical conversion on GNRs guides the choice of appropriate heteroatoms for efficient electrocatalysts,

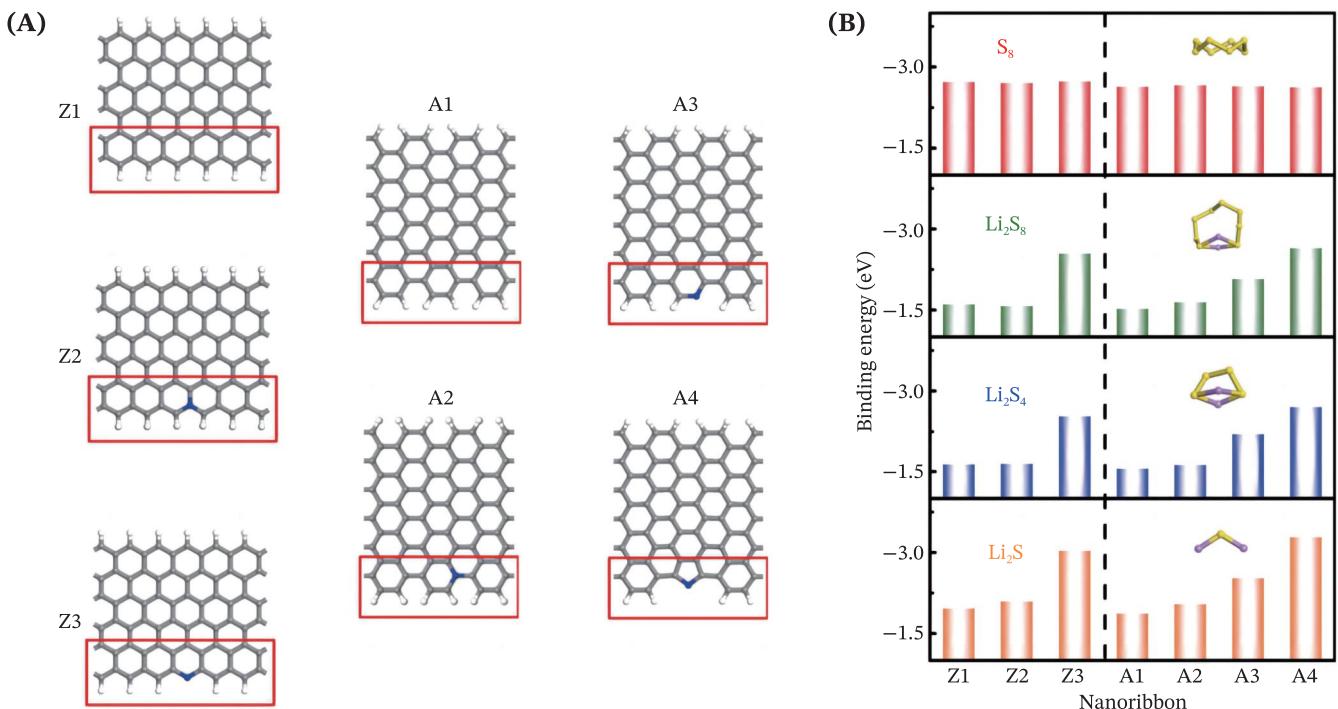


FIGURE 3 Binding effect of N dopant atoms in GNRs with Li sulfides. (A) Atomic configuration of pristine zigzag GNR (Z1), zigzag GNR with quaternary N (Z2), zigzag GNR with pyridinic N (Z3), pristine armchair GNR (A1), armchair GNR with quaternary N (A2), armchair GNR with pyridinic N (A3), and armchair GNR with pyrrolic N (A4). (B) Corresponding binding energies of the GNRs with S₈, Li₂S₈, Li₂S₄, and Li₂S. Reprinted with permission.¹¹⁴ Copyright 2015, IOP Publishing.

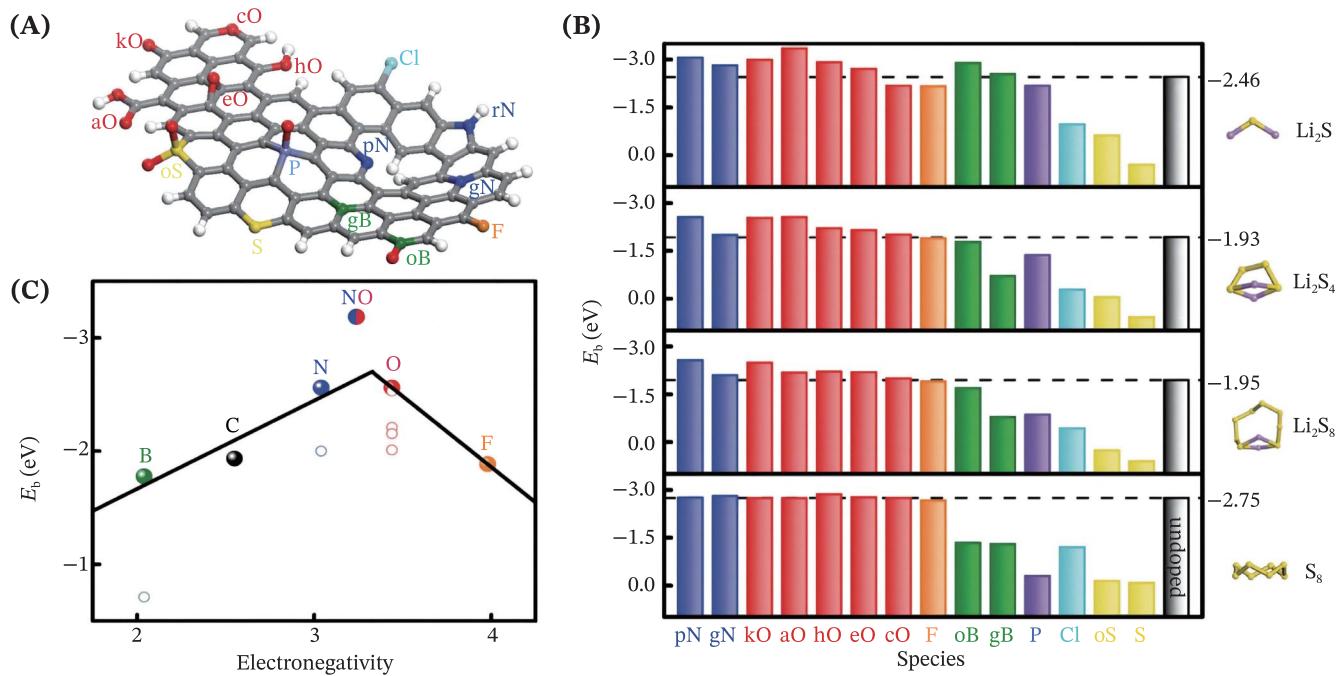


FIGURE 4 Binding effect of various dopant heteroatoms in GNRs with Li sulfides. (A) Nomenclature of the investigated heteroatoms within GNRs. Lower-case letter before element symbol denotes the chemical environment of the dopant atom. (B) Corresponding binding energies of the heteroatom-doped GNRs with Li₂S, Li₂S₄, Li₂S₈, and S₈. (C) Binding energy with Li₂S₄ versus the electronegativity of the dopant element (C for undoped GNR). The circle labeled "NO" represents (N, O)-co-doped GNR. The strongest binding energy achievable for each element is marked with solid circles, and the hollow circles represent weaker forms of binding for each corresponding element. Reprinted with permission.¹¹⁵ Copyright 2016, Wiley-VCH.

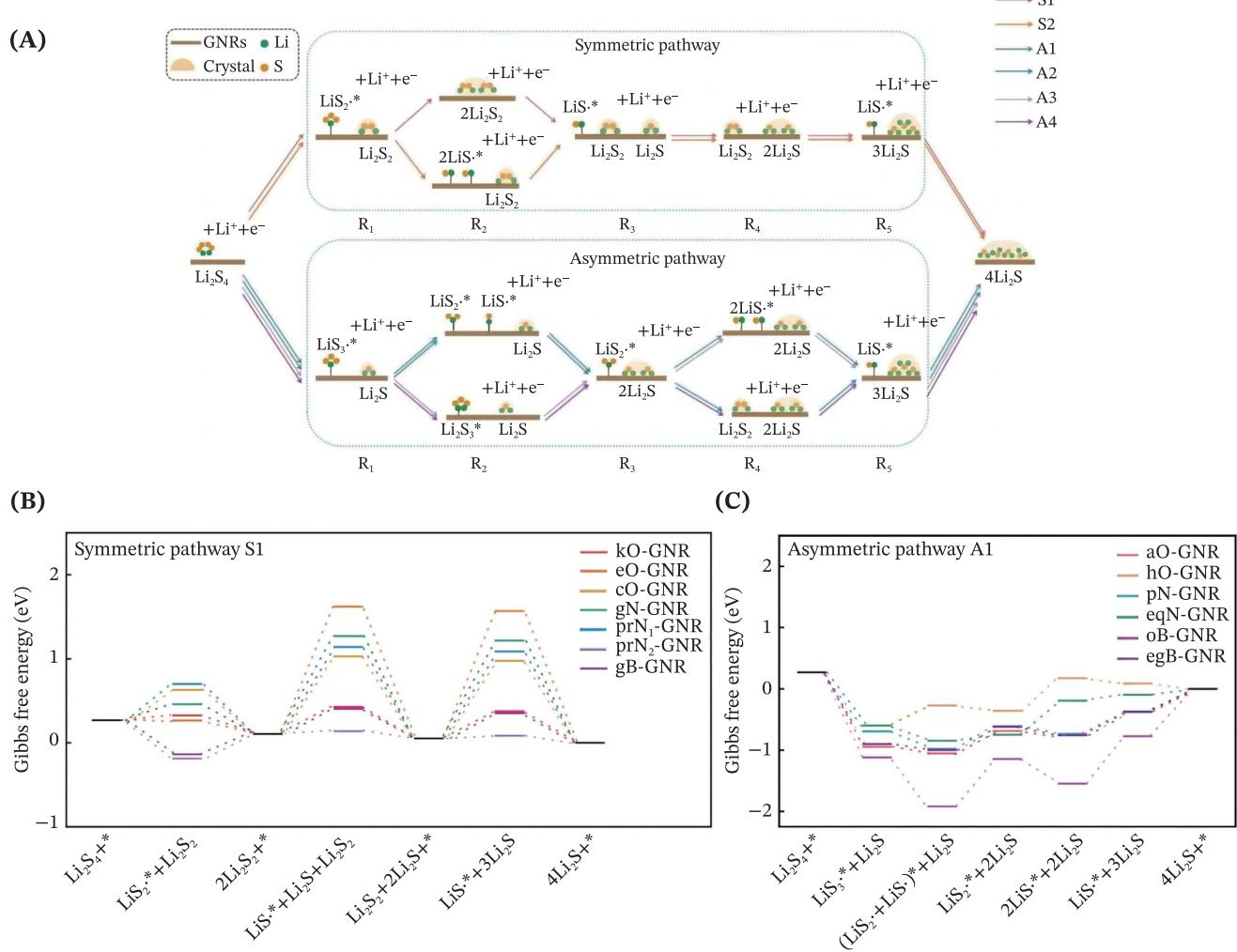


FIGURE 5 Electrocatalysis model of heteroatom-doped GNRs for polysulfide conversion from Li_2S_4 to Li_2S . (A) Six possible reaction pathways, including two symmetric pathways (S1 and S2) and four asymmetric pathways (A1, A2, A3, and A4). Gibbs free energy evolution at 2.15 V vs. Li/Li^+ along pathway (B) S1 or (C) A1 at different doped GNRs. Reprinted with permission.⁴² Copyright 2022, Wiley-VCH.

which accelerate the reaction kinetics and ultimately enhance the rate performance while avoiding the shuttle of polysulfides.⁴²

Similar to working with GNRs, S cathode can also be made into a composite electrode by combining with doped CNTs¹¹⁶ or g-C₃N₄ nanotubes, a structure analogous to CNTs but with ultrahigh N content.¹¹⁷ Its high specific surface area ($143 \text{ m}^2 \text{ g}^{-1}$) with abundant pores allows for a high S loading rate of 74.7 wt%.¹¹⁷ Apart from alleviating volume change during cycling and improving electronic conductivity just like the commonly used CNTs,^{118–120} g-C₃N₄ nanotubes can especially suppress the Li polysulfide shuttle effect by adsorbing the polysulfide species onto the N active sites.¹¹⁷ This strategy is comparable to the use of GNRs and carbon capsules discussed in Section 3.1.

3.3 | 2D carbon

Graphene can be applied as a non-encapsulating host structure for the S cathode in Li–S batteries, where Li_2S can grow either in a 3D mode or a bilayer mode as revealed by AIMD.¹²¹ The deposition of Li_2S on graphene can be made more controllable by introducing surface defects, including pyridinic N and single vacancy.¹²² According to DFT and AIMD simulations, these defects promote the nucleation of Li_2S clusters on these sites and make them grow in a spherical pattern instead of a lamellar pattern as with pristine graphene.¹²² The defects also bind Li_2S tightly and serve as pathways for electron transfer between the active material and graphene substrate, which is essential for preventing the occurrence of “dead S” and maintaining an electrochemically active interface.^{122,123}

More generally, graphene can also be used as a conductive additive in other cathode systems, such as LiCoO₂, where its performance depends on the graphene's surface chemistry and sheet size.¹²⁴ The graphene-based conductive agents have been strongly considered in cathode for rechargeable batteries.

3.4 | 3D carbon

Given the structural diversity of carbon materials, S hosts can certainly be converted into complex 3D shapes to allow room for nuanced design strategies, potentially combining the advantages of low-dimensional materials.^{125–130} For instance, a 3D hierarchical structure of porous reduced graphene oxide has been engineered to serve as the S hosts, where each layer is modified with N-doped nanoarrays.^{89,131} An extra compact graphene layer covers the porous stack of layers, in order to physically block the polysulfides from leaving the cathode.¹³¹ The dopant N atoms in the nanoarrays chemically immobilize the polysulfide species.¹³¹ Consequently, the shuttle effect is successfully suppressed, achieving a capacity retention of 99.94% per cycle for 500 cycles.¹³¹

More generally, 3D carbon structures can also be applied in other cathode systems, such as Li Fe phosphates and silicates, to enhance conductivity and optimize Li storage performance.^{132,133}

4 | CARBON MATERIALS IN EMERGING ANODES

4.1 | 0D carbon

The feasibility of 0D fullerene derivatives as active anode has been explored with the aim of surpassing the charge capacity of routine graphite anode (372 mAh g⁻¹) while offering a precise structure at a molecular level.¹³⁴ The C₆₀ derivatives exhibit charge capacities from high to low of 861 mAh g⁻¹ for carboxyl C₆₀, 404 mAh g⁻¹ for ester C₆₀, 170 mAh g⁻¹ for pristine C₆₀, and 83 mAh g⁻¹ for piperazine C₆₀.¹³⁴ The superior capacity of carboxyl C₆₀ is attributed to strong Li⁺ binding sites provided by the carboxyl group, electron donation to C₆₀ cage, large lattice void space, and high specific area due to carboxyl functionalization.¹³⁴ Hydrogenated fullerenes (C₆₀H_x) have also been tested to maximize Li storage capacity.¹³⁵ Each C₆₀H_x molecule can store ~16 Li⁺, delivering a capacity of 588 mAh g⁻¹ at ~0.1 C for over 600 cycles.¹³⁵ Among various H contents, C₆₀H₁₈ exhibits the optimal lithiation capacity.¹³⁵ DFT calculations reveal that Li atoms tend to adsorb around 3 specific C sites in C₆₀H₁₈ rather than being uniformly distributed over the C cage.¹³⁵ The hydrogenation

breaks the π -bonds and induces negative charge sites on the cage surface, contributing to the stronger binding with Li⁺ than pristine C₆₀ and hence its superior capacity.¹³⁵ Interestingly, Li adsorption causes an expansion of this anode and leads to its pulverization, but it is regarded as a helpful routine for electrode activation by exposing more active surfaces, resulting in an increasing capacity over the first 250 cycles.¹³⁵

Besides serving as active anode materials, 0D fullerenes can also be applied as a supplementary strategy to help Li metal anodes avoid dendrite growth. By introducing a bilayer interphase of dense C₆₀ and magnesium (Mg) metal on top of Li foil via vacuum evaporation deposition, Li dendrites can be effectively suppressed, exhibiting a long cycle life over 200.¹³⁶ At the same time, this strategy also protects the Li metal anode from humidity.¹³⁶ A similar strategy involves constructing a silver@fullerene interphase bilayer placed on a Cu foam current collector.¹³⁷ Based on DFT calculations of binding energies with Li⁺, Ag is lithiophilic (−1.86 eV) while C₆₀ is lithiophobic (−0.69 eV) with a high nucleation barrier.¹³⁷ The lithiophilic Ag layer pulls Li⁺ into guided uniform deposition—a phenomenon typically observed in many Ag–C or comparable systems^{138–143}—while the lithiophobic C₆₀ layer regulates a uniform Li⁺ flux, resulting in a sandwiched Li plating between the two layers with minimal dendrite growth.¹³⁷ Alternatively, nitro-C₆₀ at 5 mM concentration can be utilized as an electrolyte additive to suppress Li dendrite growth.¹⁴⁴ At the anode–electrolyte interface, nitro-C₆₀ molecules gather at electrode protuberances due to electrostatic interactions and then get reduced into C₆₀ and NO₂[−].¹⁴⁴ C₆₀ precipitates from the electrolyte and fills the grooves between Li protuberances, thereby smoothing out the anode surface and suppressing dendrites.¹⁴⁴ At the same time, NO₂[−] reacts with metallic Li, producing a compact and stable N-rich solid electrolyte interphase (SEI), which protects the Li anode and allows for fast Li⁺ transport.¹⁴⁴

As for carbon capsules, they have been utilized in protecting the silicon (Si)-based anode, which suffers from drastic volume change during charge and discharge.⁶⁹ By encapsulating Si nanoparticle clusters, the carbon capsules create space for the structural breathing of Si, effectively preventing the active material from pulverization.⁶⁹ The specific surface area of the composite is kept very low, which leads to stable SEI and therefore superior initial Coulombic efficiency compared to coating each Si nanoparticle with carbon.⁶⁹

4.2 | 1D carbon

GNRs have been explored as the Li-storing material in LIB anode. DFT calculations suggest that ZGNRs present a binding energy with Li⁺ that is about 50% stronger than

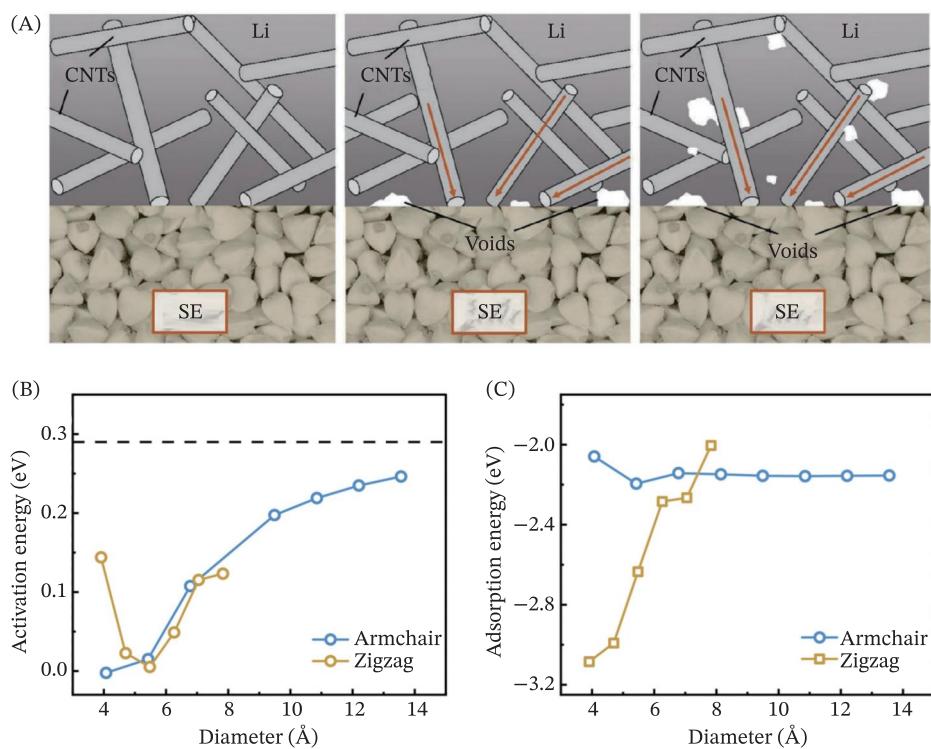


FIGURE 6 CNTs for dendrite suppression via Li^+ transport enhancement. (A) Schematic diagrams showing void formation during fast stripping and the role of CNTs as a contact mediator between Li metal and SE. Reprinted with permission.¹⁴⁹ Copyright 2022, The Authors, Published by Wiley-VCH. (B) Calculated activation energy of Li^+ transport and (C) adsorption energy of Li^+ in CNTs with respect to tube diameter. Reprinted with permission.¹⁵¹ Copyright 2023, AIP Publishing.

that of graphene, indicating that the edge effect promotes Li^+ storage.¹⁴⁵ The introduction of N dopants further enhances the Li^+ storage capacity by producing topological defects.¹⁴⁶

GNRs have also been applied as complementary materials in LIB anodes to facilitate electron transport and buffer volume change. For instance, Fe_3O_4 has been employed as an anode with a high theoretical reversible capacity of 928 mAh g^{-1} . However, it suffers from drastic volume change during lithiation and de-lithiation, which induces electrode pulverization.¹⁴⁷ To relieve the strain caused by volume changes, Fe_3O_4 particles can be grown in a scattered manner on GNRs and wrapped with a soft layer of graphene.¹⁴⁷ Apart from providing space to allow volume change, this nanostructured arrangement also facilitates electron and ion transport to the active sites for Li^+ storage.¹⁴⁷ The strategy of distributing active nanoparticles in GNRs also works for SnO_2 anode, achieving a high reversible discharge capacity of 1130 mAh g^{-1} and retains $\sim 825 \text{ mAh g}^{-1}$ after 50 cycles at 0.1 A g^{-1} .¹⁴⁸

A similar strategy has also been devised using CNTs to mitigate the volume change problem for tin (Sn) anode, which is well known for its outstanding volumetric capacity ($2111\text{--}7316 \text{ mA h cm}^{-3}$, $\sim 3\text{--}9$ times that

of graphite).²⁰ By encapsulating Sn nanoparticles in robust and conductive frameworks of double-walled graphene tubes, the volume change is buffered while the active material is secured within the tubes, achieving a high volumetric energy density of 1252 W h L^{-1} and reversible capacity retention above 95% after 500 cycles.²⁰ Similarly, single-walled CNTs have also been demonstrated as an effective conductive network for Si-based anodes by affording superior tensile response and Li^+ diffusivity.^{90,91}

CNTs can suppress dendrite growth on working Li metal anode in all-solid-state batteries.^{149,150} When Li metal is stripped very quickly during discharge, voids appear at the interfaces between the Li metal and solid electrolyte (SE) (Figure 6A).¹⁴⁹ This pore formation leads to loss of contacts at the interfaces and the tendency of dendrite growth during the subsequent charging process due to surface heterogeneity.¹⁴⁹ When CNTs are incorporated in the body of the Li metal anode, they facilitate Li^+ transport from the bulk of the anode to the SE, resulting in a relatively homogeneous 3D stripping of the anode.¹⁴⁹ From a theoretical point of view, the conductance of Li^+ inside CNTs depends on their chirality and diameter.¹⁵¹ According to DFT calculation results, the activation energy of Li^+ diffusion increases with tube

diameter (Figure 6B) for armchair CNTs.¹⁵¹ For zigzag CNTs, the activation energy reaches a minimum (10 meV) with a tube diameter of 5.5 Å, providing an ultralow Li⁺ diffusion barrier compared to routine solid electrolyte materials.¹⁵¹ The origin of the low energy barrier is related to the change in the adsorption energy of Li⁺ with respect to tube diameter (Figure 6C).¹⁵¹ As the tube diameter increases, the adsorption energy does not change significantly for armchair CNTs but becomes significantly less negative for zigzag CNTs, suggesting the weakened interaction with Li⁺ in the latter case.¹⁵¹

It is worth noting that Li⁺ is found to be able to diffuse through the walls of CNTs if the latter has topological defects, such as 10-membered rings.¹⁵² Even a 9-membered ring requires an energy barrier of only 9.69 kcal mol⁻¹ to pass through.¹⁵²

Thanks to its light weight and good electronic conductivity, CNTs can serve as current collectors for high-energy-density LIBs, so long as the CNTs are properly passivated to avoid excessive reaction with the electrolyte during cycling.¹⁵³ Pouch cells with such CNT current collectors have been reported to offer 50% higher energy density compared to those with conventional metal current collectors.¹⁵³

4.3 | 2D carbon

When used as anode hosts for Li batteries, graphene can achieve a theoretical capacity of 744 mAh g⁻¹¹⁵⁴ or even higher depending on the disorder of the graphene nanosheets.^{154,155} It is worth briefly noting herein that graphdiyne, a 2D carbon material comprising *sp*²- and *sp*-hybridized C atoms, can also store Li in a similar manner as graphene, affording an experimental reversible capacity of 520 mAh g⁻¹ after 400 cycles at a current density of 500 mA g⁻¹.¹⁵⁶ With the large surface-to-volume ratio of the graphene agglomerate in the shape of flower-petals, abundant Li⁺ are bound to both sides of the graphene sheets, as well as the edges and covalent sites.¹⁵⁷ Furthermore, introducing vacancy defects on graphene can further increase the Li/C ratio by affording an extra potential trap for Li⁺, as revealed by DFT calculations.¹⁵⁸ Besides, double vacancy and higher-order defects allow Li⁺ to diffuse in the direction perpendicular to the graphene sheets by reducing the diffusion barrier from 8.74 to 0.54 eV, thereby enhancing the diffusion energetics of the anode.¹⁵⁸ Doping graphene with N can also render a large number of surface defects, which enhances its reversible discharge capacity to almost double that of pristine graphene tested in experiments.¹⁵⁹ First-principles calculations involving the deposition of multiple Li atoms demonstrate that graphene nanosheets

doped with pyridinic N exhibit the highest theoretical Li storage capacity (1262 mAh g⁻¹) among various N-doped graphene nanosheets.¹⁶⁰

N-doped graphene can regulate the morphology of Li deposits. On the one hand, incorporating graphene flakes in Li metal anode, regardless of doping, is an effective strategy for preventing dendrite growth, because it affords a conductive scaffold with a huge surface area to maintain a very low local current density.¹⁶¹ On the other hand, when applied as the deposition substrate for metallic Li, the dopant N atoms can serve as preferential deposition sites that guide the location of Li nuclei formation. This strategy encourages Li to deposit specifically at these lithiophilic sites, rather than depositing randomly at first followed by repeated deposition at existing dendritic sites (Figure 7A,B).¹⁶² The dopant N atoms are shown to have a high affinity with Li⁺, as suggested by DFT calculations of binding energy.¹⁶² Among various types of N dopant atoms, pyrrolic N exhibits the strongest binding energy of -4.46 eV, compared to only -2.57 eV for copper.¹⁶² In practice, N-doped graphene can be made into an aerogel to serve as the anode host material for Li metal deposition.¹⁶³ Thanks to the guided Li deposition, large pore space, and reduced current density, the aerogel anode can achieve a Coulombic efficiency of 99% for over 150 cycles at 0.5 mA cm⁻² with a fixed capacity of 1.0 mAh cm⁻².¹⁶³ Apart from N doping, the lithiophilicity of other dopants has also been systematically studied using DFT (Figure 7C).¹⁶⁴ Depending on the doping environment, some dopant elements, namely B, N, O, P, and S may exhibit superior lithiophilicity compared to pristine graphene, while halogen dopants decrease the lithiophilicity.¹⁶⁴ The strongest lithiophilicity is shown by O-doped graphene among single-doped ones and O-B co-doped graphene among double-doped ones.¹⁶⁴ This doping design strategy can also be extended to Na and potassium (K) metal batteries, where O-B, O-S, and O-P co-doping strategies are predicted by DFT calculations to offer the highest sodiophilicity or potassiophilicity.¹⁶⁵

Since Li plating will be guided toward the lithiophilic sites, these sites should be homogeneously distributed in 3D carbon hosts. This homogeneity can be achieved by constructing a 2D framework through covalently linking porphyrin molecules, which each serve as a lithiophilic unit with 4 electron-rich pyrrolic N atoms (Figure 8A).¹⁶⁶ The porphyrin framework affords a significantly stronger binding with Li than graphene and even N-doped graphene by 0.68 and 0.39 eV, respectively (Figure 8B).¹⁶⁶ The porphyrin framework can be synthesized into a hybrid working electrode by combining with graphene, which prevents the stacking of porphyrin frameworks and increases the overall conductivity.¹⁶⁶ As evidenced by experimental measurements, a much smaller

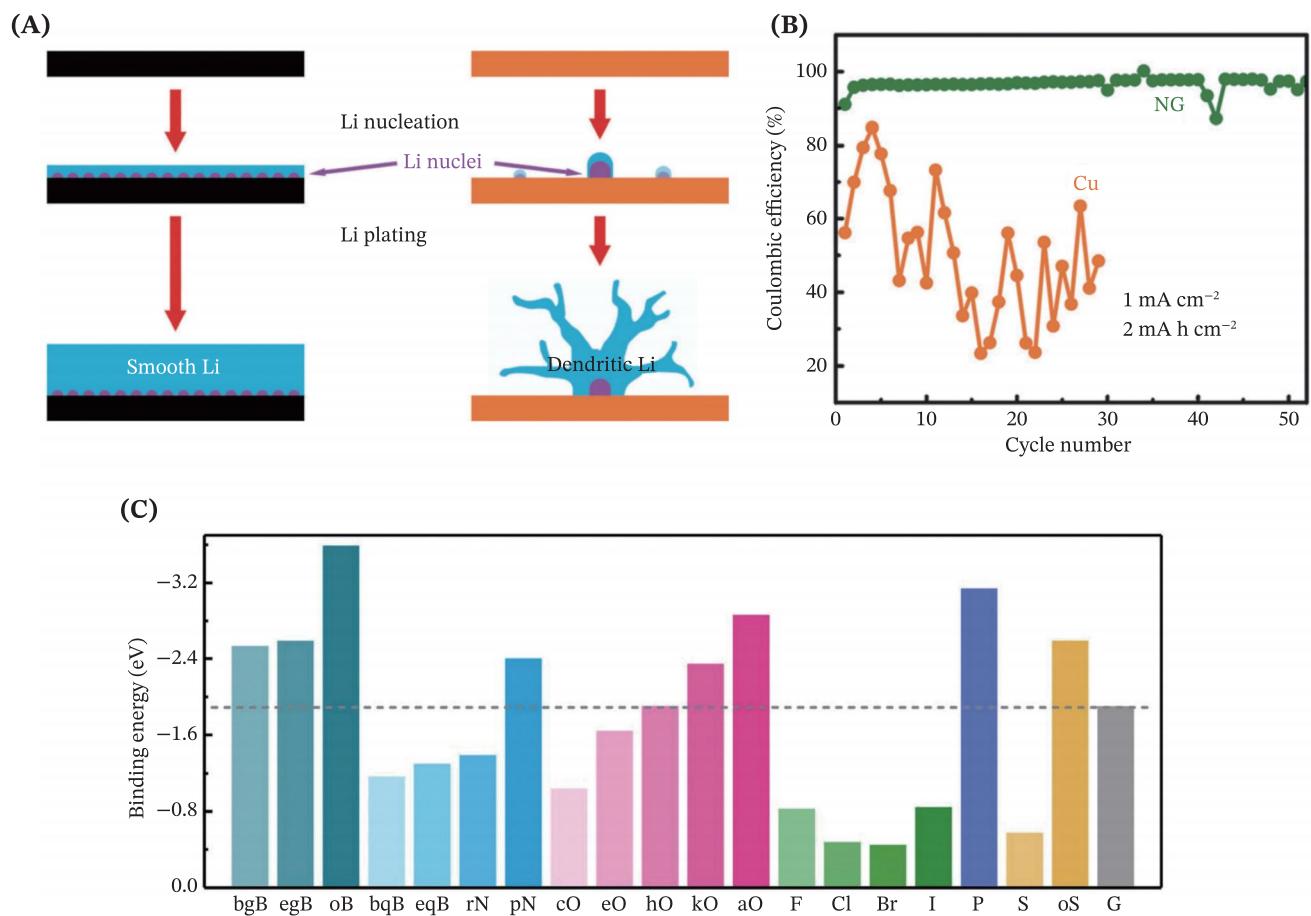


FIGURE 7 Lithiophilic dopant atoms in graphene guides uniform Li metal deposition. (A) Schematic illustration of the effect of N-doped graphene on Li metal deposition morphology. Left: guided Li deposition at lithiophilic dopant sites results in smooth Li metal. Right: unguided Li deposition at copper electrode results in dendrite growth. Reprinted with permission.¹⁶² Copyright 2017, Wiley-VCH. (B) Coulombic efficiency of Cu foil and N-doped graphene (NG) electrode with a cycling capacity of 2.0 mAh cm^{-2} at a current density of 1.0 mA cm^{-2} . Reprinted with permission.¹⁶² Copyright 2017, Wiley-VCH. (C) DFT-calculated binding energy with Li^+ of various dopant atoms in a graphene sheet, compared to pristine graphene (G). Reprinted with permission.¹⁶⁴ Copyright 2019, The Authors, published by the American Association for the Advancement of Science.

nucleation overpotential is needed for the porphyrin framework hybrid electrode (14.6 mV) than a copper electrode, pure graphene electrode, or the N-doped graphene electrode at a consistent current density of 0.5 mA cm^{-2} (Figure 8C).¹⁶⁶ The superior lithiophilicity of the porphyrin framework hybrid electrode over the routine electrodes is maintained as the current density is increased to up to 1.0 mA cm^{-2} (Figure 8D).¹⁶⁶

Introducing transition metals, such as Co and Mn, to N-doped graphene can further intensify its lithiophilicity.^{167,168} A CoN_x -doped carbon (CoNC) material contains Co single atoms each coordinated with 4 N dopant atoms in the graphene sheet (Figure 9A). The binding energy of such an atomic structure with Li^+ atom can be stronger than N-doped graphene by 0.72 eV (Figure 9B), thanks to the formation of both Li-Co and Li-N bonds confirmed via differential charge density

analysis.¹⁶⁷ Consequently, the Li deposition overpotential is significantly smaller for the CoNC electrode than a routine Cu electrode or a N-doped graphene matrix (NGM) electrode (Figure 9C).¹⁶⁷ This low nucleation overpotential, together with the guiding effect that regulates Li deposition location, results in uniformly distributed nucleation sites (Figure 9D), where subsequent Li^+ ions tend to adsorb and grow.¹⁶⁷ When these nucleation sites are close enough to each other, the separately deposited Li metal will eventually merge and give a smooth plating morphology, without the formation of Li dendrite. This is evidenced by scanning electron microscopy (SEM), which reveals that Li is plated evenly on the CoNC electrode (Figure 9E) but grows in the shape of filaments on the Cu electrode (Figure 9F).¹⁶⁷

Beyond small DFT models involving binding energy calculations, lithiophilicity of doped graphene has also

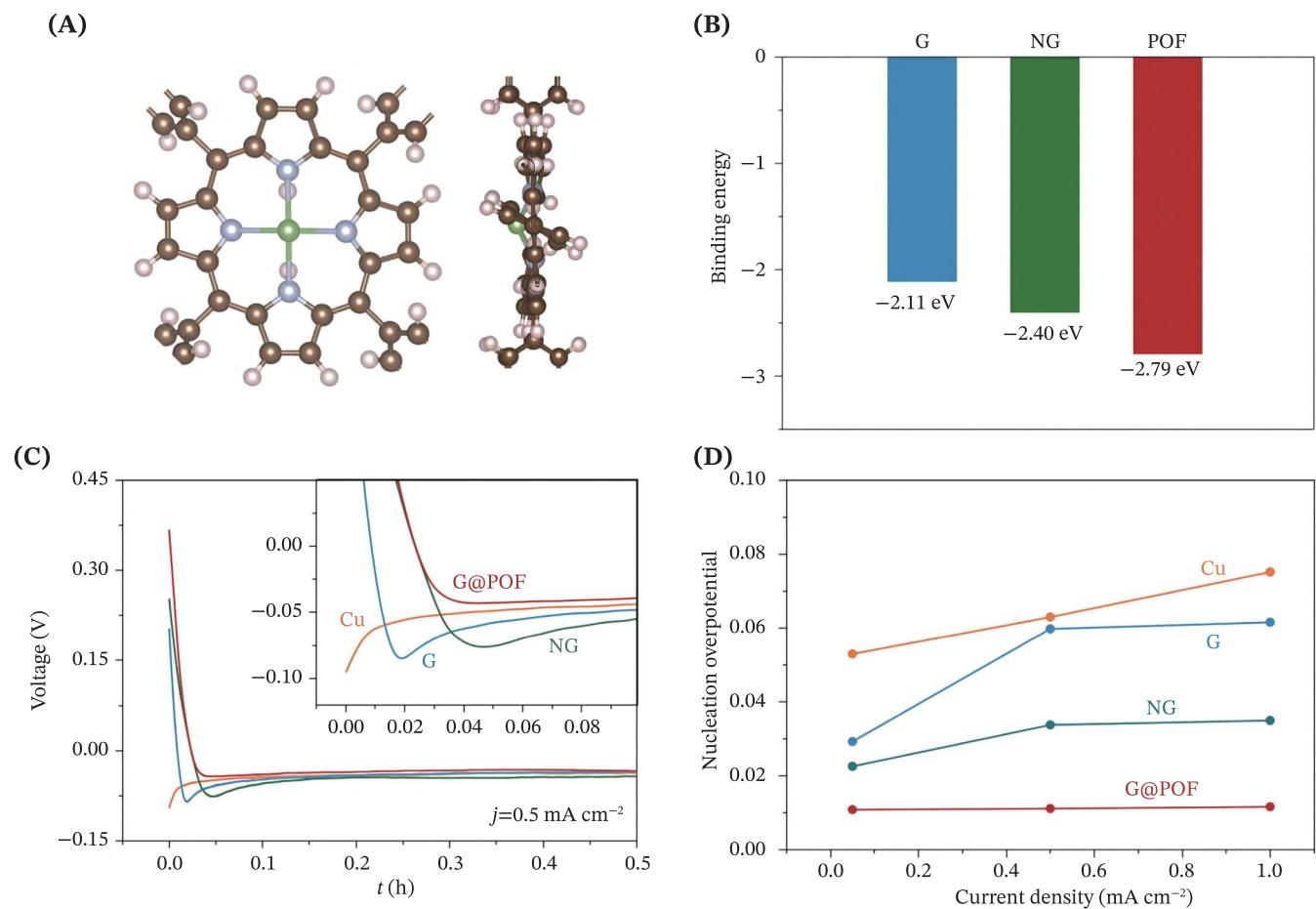


FIGURE 8 Favorable Li nucleation on a hybrid of graphene and porphyrin framework. (A) Optimized binding structure of Li and porphyrin viewed from top and side. Atom colors: brown, C; white, H; blue, N; green, Li. (B) Binding energy of graphene (G), N-doped graphene (NG), and porphyrin framework (POF) with Li. (C) Voltage plotted against time upon Li nucleation on electrodes made of Cu, G, NG, and porphyrin framework (G@POF) at a current density of 0.5 mA cm^{-2} . (D) Lithium nucleation overpotentials at various current densities for the four types of electrode materials. Reprinted with permission.¹⁶⁶ Copyright 2019, The Authors, published by the American Association for the Advancement of Science.

been elucidated on the scale of electric double layer (EDL) using MD simulations (Figure 10).¹⁶⁹ Given the small computational cost per atom and the flexibility of assigning charges to electrode atoms compared to DFT-based AIMD, classical MD is capable of modeling EDL evolution with electrode potential, including the distribution of ions and solvent molecules.¹⁷⁰ As a result, Li^+ is four times as likely to be found near a dopant N atom as to be found near a C atom in the EDL region between a N-doped graphene and a carbonate-based electrolyte solution.¹⁶⁹ This is further elucidated by the potential energy pit (0.04 eV stronger than C) and increased force of attraction (15% stronger than C) provided by the dopant N atoms to Li^+ .¹⁶⁹ These results further suggest that N-doped graphene has the potential to guide Li^+ deposition by attracting Li^+ to the dopant sites even with the presence of solvent molecules and a working electrode potential.¹⁶⁹

Apart from serving as the base for Li deposition as discussed above, it is also of interest to investigate the possibility of using graphene as a protective film placed on top of the Li metal anode. To serve as a protective film, the graphene layer must be capable of allowing Li^+ to penetrate while isolating the anode from the other electrolyte species. According to DFT calculations, the energy barrier for a Li^+ to penetrate a graphene layer through a hexagonal hole between 6 neighboring C atoms can be as high as 7.92 eV (Figure 11).¹⁷¹ This is virtually impermeable to Li^+ or any other electrolyte species. However, this energy barrier can be lowered to 3.60, 2.98, or even 1.31 eV by introducing single vacancy (SV), Stone–Thrower–Wales (STW) defect, or double vacancy (DV), respectively, which provides a wider passage for Li^+ transport at the cost of mechanical strength.¹⁷¹ When placed next to the Li metal anode, the protective layer can exhibit an even lower energy barrier due to the metal

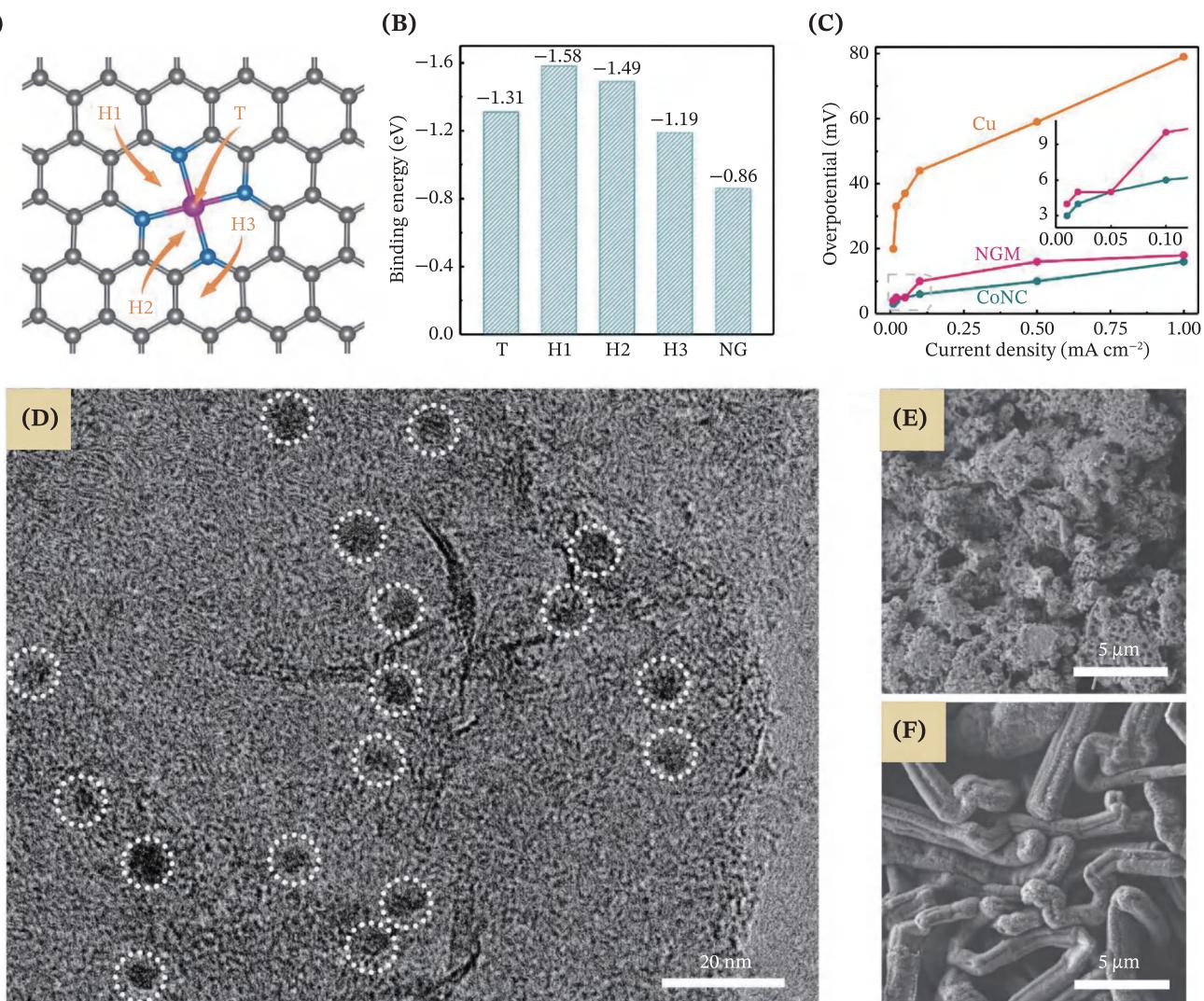


FIGURE 9 Li deposition morphology regulated by lithiophilic CoNC sites. (A) Li⁺ adsorption sites at CoNC. Atom colors: gray, C; blue, N; purple, Co. (B) Binding energies of a Li atom at the four adsorption sites shown in (A) in comparison to its binding energy with N-doped graphene (NG). (C) Li nucleation overpotentials on Cu, NGM, and CoNC electrodes at various current densities. The inset is an enlarged picture of the region enclosed in the gray dashed box. (D) Transmission electron microscopy image of Li nucleation sites on CoNC after 5 min of charging at 0.1 mA cm⁻². SEM image of Li deposition morphology after 4 h of plating at 0.5 mA cm⁻² on the (E) CoNC and (F) Cu electrodes. Reprinted with permission.¹⁶⁷ Copyright 2018, Wiley-VCH.

proximity effect.¹⁷¹ For instance, the energy barrier for Li⁺ to penetrate through SV is lowered from 3.60 eV to only 1.91 eV when coupled with Li (111) surface.¹⁷¹

4.4 | 3D carbon

Due to its abundance and ability of allowing reversible Li⁺ intercalation, graphite is employed as the most common anode material in LIBs, with its fully lithiated form being LiC₆.² A DFT survey on crystal structures of Li carbides with different stoichiometries showed that LiC₄, LiC₅, LiC₆, LiC₈, LiC₁₀, and LiC₁₂ are possible intercalation products at ambient pressure, with LiC₆ and LiC₁₂

being thermodynamically stable.¹⁷² The crystal structures of these two stable compounds are illustrated in Figure 12. LiC₄ or LiC₅ tends to decompose into LiC₆ and Li metal, while LiC₈ or LiC₁₀ tends to decompose into LiC₆ and LiC₁₂.¹⁷² This series of DFT calculations helps to explain why graphite can be intercalated stably up to LiC₆. As Li atoms are intercalated into graphite, more electrons are transferred to the *sp*² orbitals of C atoms, so the C–C bonds are elongated.¹⁷² Bader charge analysis from AIMD snapshots indicates that Li atoms exist as Li^{+0.6}, Li^{+0.7}, and Li^{+0.8} in LiC₁₈, LiC₁₂, and LiC₆, respectively.¹⁷³ At the same time, the distance between graphene layers increases from 3.35 Å (AB stacking) in pure graphite to ~3.7 Å (AA stacking) in intercalated

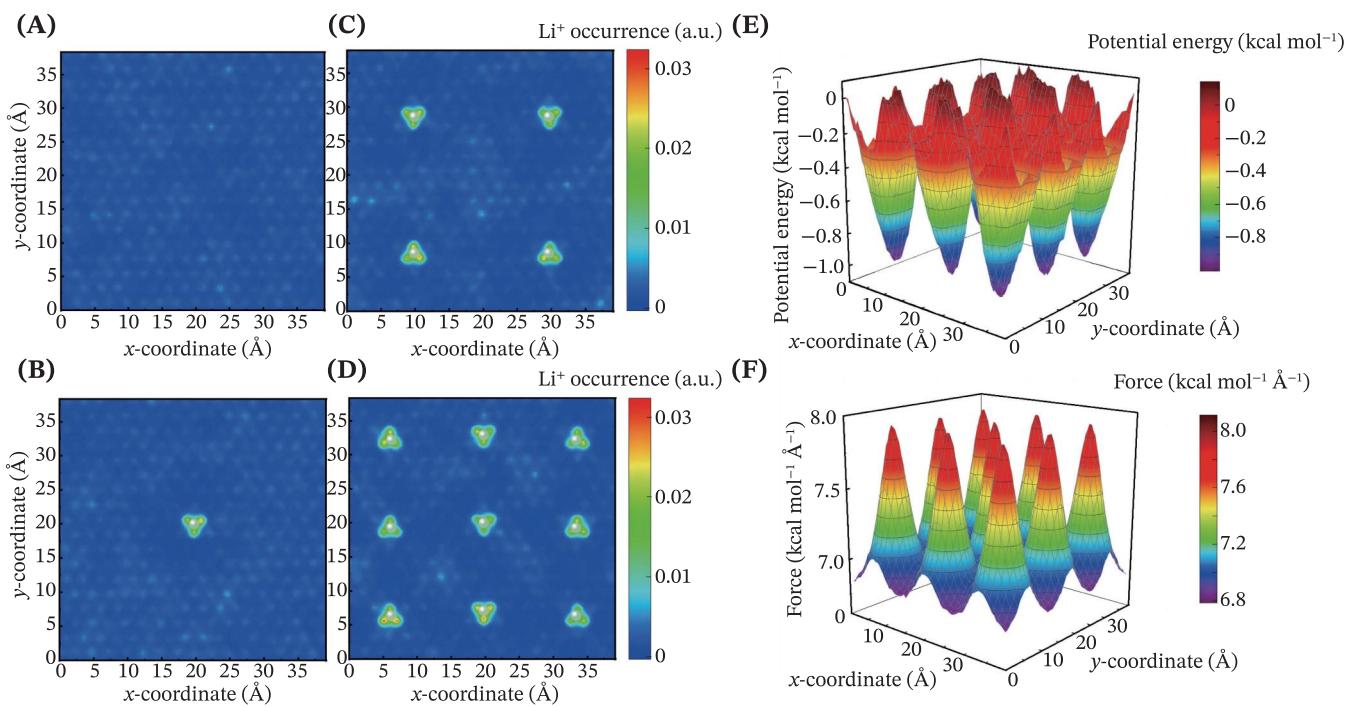


FIGURE 10 MD simulation of EDL structure reveals preferential Li^+ adsorption sites provided by dopant N atoms in graphene. The probability of finding Li^+ on the surface of graphene doped with (A) no N atom, (B) 1 N atom, (C) 4 N atoms, and (D) 9 N atoms among a total number of 576 atoms. Dopant N atoms are denoted by gray spheres. Potential energy (E) and force (F) landscape of a Li^+ near a graphene sheet doped with 9 N atoms. Reprinted with permission.¹⁶⁹ Copyright 2024, Science Press and Dalian Institute of Chemical Physics, Chinese Academy of Sciences.

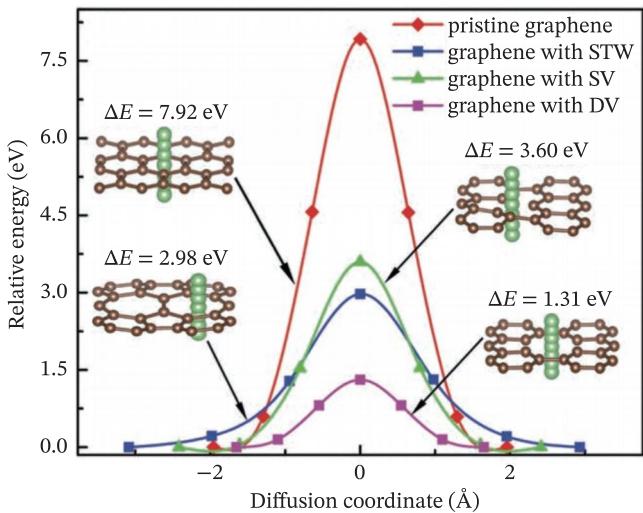


FIGURE 11 Potential energy evolution when a Li^+ diffuses in the direction perpendicular to the sheet of pristine or defective graphene. Three types of defects are investigated: STW defect, SV, and DV. Reprinted with permission.¹⁷¹ Copyright 2017, Wiley-VCH.

compounds.¹⁷³ Since first-principles modeling of the Li-C system can be computationally expensive, machine learning potentials^{174,175} have also been proposed for the

intercalation structures at various conditions, aiming at reducing the computational cost while preserving electronic accuracy.¹⁷⁶ A neural-network potential has also been developed for carbon materials with various types of vacancy defects.¹⁷⁷

The performance of graphite anode in LIBs depends greatly on its interaction with the working electrolyte. On the one hand, the EDL formed between the graphite anode and the liquid electrolyte upon their initial contact determines the composition of the SEI formed subsequently.¹⁷⁸ As revealed by MD simulations of the anode-electrolyte interface, strongly polar solvent molecules such as EC are enriched at the charged anode surface, compared to the less polar solvent dimethyl carbonate (DMC), leading to the dominance of EC decomposition compounds in SEI layers.^{179,180} AIMD simulations have also suggested the possibility of electrochemical decomposition of PF_6^- , accompanied by the widely accepted thermal decomposition and decomposition induced by trace water.¹⁸¹

On the other hand, the graphite anode-electrolyte interface has significant implications for the transport of Li^+ in the whole cell. Upon charging, Li^+ ions travel out of the cathodes into the electrolyte, while Li^+ in the electrolyte phase intercalate into the graphite layers. Li^+

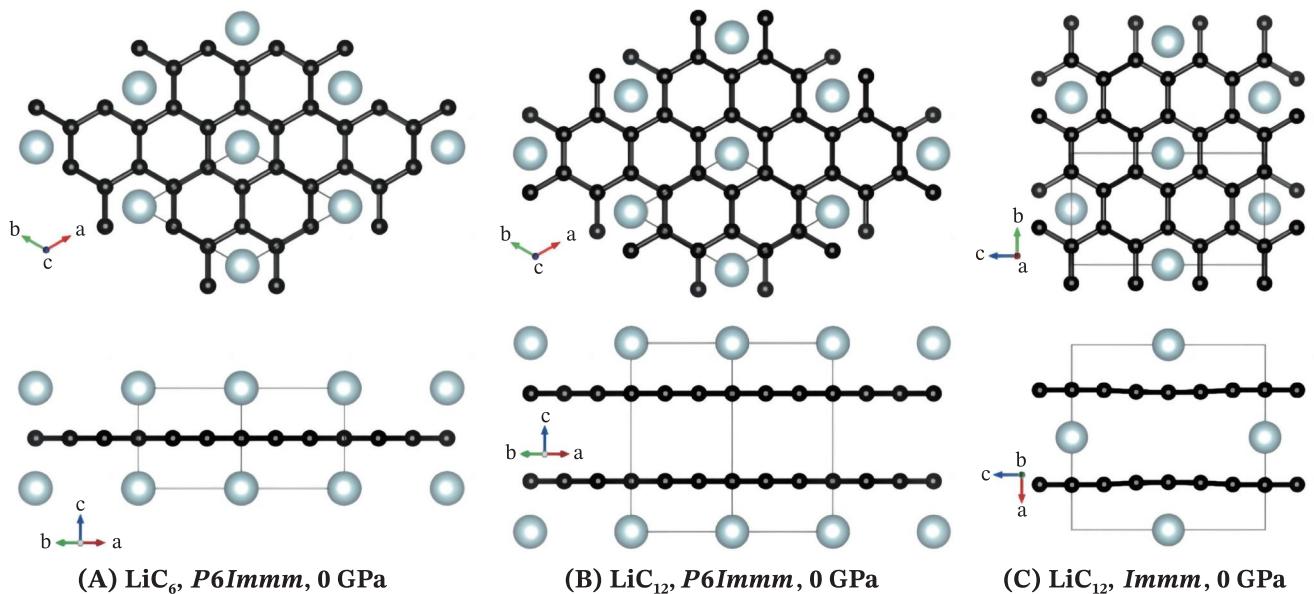


FIGURE 12 Crystal structures of stable Li-intercalated graphite. (A) Crystal structure of LiC_6 . (B) Thermodynamically stable crystal structure of LiC_{12} with $\text{AA}\alpha$ stacking sequence, A denoting graphite and α denoting Li. (C) Metastable crystal structure of LiC_{12} with $\alpha\alpha\text{A}\beta$ stacking sequence, α and β denoting Li. Reprinted with permission.¹⁷² Copyright 2015, American Physical Society.

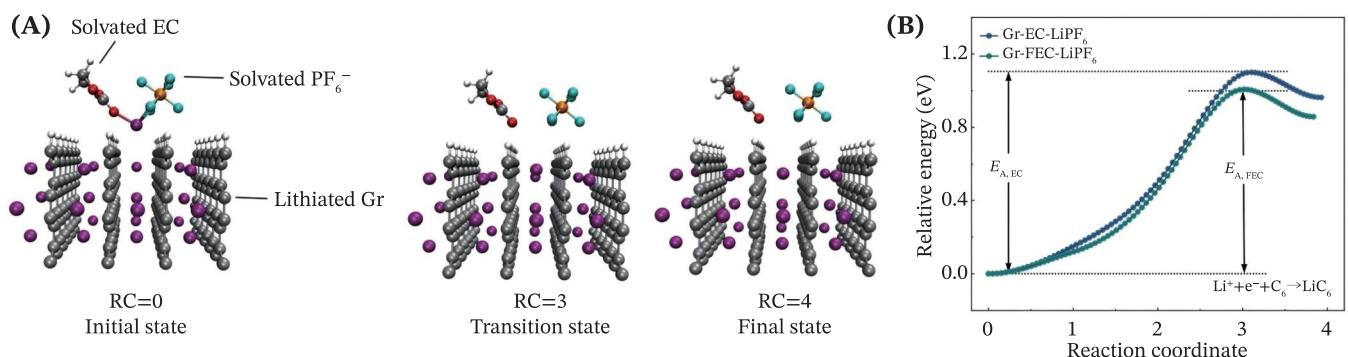


FIGURE 13 CI-NEB calculation scheme to determine the Li^+ intercalation energy barrier. (A) Atomic configurations at various reaction coordinates (RCs) during Li^+ desolvation and insertion between the graphite layers. (B) Relative energy versus RC when Li^+ is solvated by an EC or FEC molecule in addition to a PF_6^- anion. Reprinted with permission.¹⁸² Copyright 2022, Wiley-VCH.

intercalation kinetics at the graphite electrode plays a vital role in dictating the rate performance and low-temperature compatibility of the LIB. To intercalate into graphite, Li^+ in the solution needs to escape from its solvation shell, passing from the outer Helmholtz plane into the inner Helmholtz plane. This is an energy-demanding event that requires an electric field at a relatively low electrode potential (e.g., $\sim 1.4\text{--}3$ V below the potential of zero charge), according to MD simulations of the EDL.^{179,180} When coupled with a cathode with fast interfacial kinetics and a low energy barrier, such as the $\text{LiNi}_{0.8}\text{Co}_{0.15}\text{Al}_{0.05}\text{O}_2$ cathode interfacing with a LiTFSI -EC-DMC electrolyte solution, a high current density (e.g., 4.0 C) would result in Li plating on the graphite anode.^{182,183} To mitigate this issue, weakly solvating

fluoroethylene carbonate (FEC) can be employed instead of EC, which facilitates Li^+ intercalation into the graphite anode.¹⁸² DFT calculations based on the climbing-image nudged elastic band (CI-NEB) method (Figure 13) reveal that FEC offers a lower energy barrier (1.01 eV) for Li^+ intercalation than EC (1.10 eV), thanks to the electron-withdrawing effect of the F atom from the coordinating carbonyl O atom.¹⁸² PF_6^- was also included in the solvation shell in this calculation, because adding PF_6^- to the LiTFSI electrolyte increases the energy barrier of the cathode Li^+ transport, ultimately balancing the kinetics of the two electrodes.¹⁸²

Another common issue upon Li intercalation is the exfoliation of graphite layers due to the co-insertion of Li^+ and solvent molecules. Since the attraction between

Li^+ and solvent molecules is very strong due to coordination, Li^+ can potentially drag solvent molecules into the space between graphite layers. The attraction between Li^+ and solvent can be weakened by applying a high concentration of LiTFSI, using low-solubility solvent, or adding NO_3^- .¹⁸⁴ MD simulations reveal that NO_3^- can replace TFSI^- and solvent molecules in the first solvation shell.¹⁸⁴ Including NO_3^- in the solvation shell also makes the solvation cluster negatively charged, leading to the formation of large aggregates.¹⁸⁴ Consequently, the interaction between Li^+ and solvent molecules is effectively lowered, and graphite stability is practically enhanced.¹⁸⁴

In LMBs, 3D carbon hosts have been implemented to move beyond the capacity limit of LIBs while mitigating dendrite and volume change problems of anodes consisting purely of Li metal. For instance, carbon fibers can be combined with Li metal to make a composite anode, in which a thin layer of LiC_6 is formed in situ on the surface of the carbon fibers.³⁰ While the carbon fiber is lithiophobic in nature, this LiC_6 layer is lithiophilic with a low nucleation overpotential of only 10 mV.³⁰

Porous carbon, another anode host material, can be doped with N atoms by activating C_{60} molecules with KOH in an ammonia atmosphere.¹⁸⁵ Such treatment opens up the sphere structure of C_{60} , resulting in a large number of curved surfaces with defects doped with N atoms.¹⁸⁵ To illustrate the interaction between this material and Li^+ , adsorption energy was calculated using DFT. On the one hand, the curved structure of C atoms was shown to adsorb Li^+ more strongly (-2.51 eV) than a flat graphene fragment (-1.88 eV).¹⁸⁵ On the other hand, N-doping, especially pyrrolic N, can significantly contribute to Li^+ storage capacity by strengthening the adsorption energy from -2.31 eV for pristine C_{60} to -5.56 eV.¹⁸⁵ Additionally, a nonlocal density functional theory (NLDFT) model was employed to determine the pore volume and pore size distribution, which agreed with X-ray diffraction (XRD) results.¹⁸⁵ Thanks to the pores and lithiophilicity, this anode achieves an improved capacity of ca. 1900 mA h g^{-1} at a current of 100 mA g^{-1} ,¹⁸⁵ which is much higher than the capacities of routine graphene (770 – 1115 mA h g^{-1}), CNTs (1115 mA h g^{-1}), or hard carbon (200 – 600 mA h g^{-1}) anodes.¹⁸⁶

A more complex 3D carbon host structure has also been engineered by stacking layers of porous reduced graphene oxide, each layer further modified with N-doped nanoarrays.¹³¹ The N dopant atoms lower the Li nucleation overpotential, while the well-aligned nanoarrays regulate the Li^+ flux by providing an optimized ion diffusion pathway.¹³¹ The 3D structure with abundant nanoarrays also affords a large surface area to

achieve a low current density, which further contributes to uniform Li plating.¹³¹

Table 1 summarizes the applications of the carbon materials discussed in this contribution, along with each material's advantages and disadvantages. The rational combination of carbon with active energy storage materials is strongly considered for efficient and effective Li storage in working batteries.

5 | CONCLUSIONS AND PERSPECTIVES

Using carbon materials as electrode materials in working batteries is one of the greenest and most effective ways for effective energy storage. The diversity of carbon materials is conducive to the efficient manifestation of energy-chemical processes at a macroscopic scale. Although they may not necessarily be the most central material in working devices, their superb electrical conductivity and diverse morphologies represent the limit of human control over materials, demonstrating our current controllability and precision under the scope of materials science.

The rich structures of carbon materials and doping strategies are bringing about abundant possibilities for emerging energy storage. Moreover, carbon materials are easy to be calculated theoretically in a high-throughput setting on computers. Consequently, artificial intelligence can be easily applied to empower the development of carbon-based energy materials.

In real devices, the role of carbon-based energy materials is multi-faceted. There is still room for applying in-situ characterization to understand the working behavior of energy materials at multiple scales and using advanced computation techniques to probe and clarify the potential of carbon-based energy materials.

The emerging energy devices have various application scenarios, requiring LIBs to play a role similar to that of chips in the sense that there is no one-size-fits-all design. Various kinds of energy materials designs are strongly requested for efficient energy storage in different scenarios. To accelerate knowledge discovery with big models, it is necessary to further explore the theoretical system for carbon-based energy materials, improve theoretical methods to conserve computational power, and introduce artificial intelligence into the computational systems and models.

Li battery technology has been empowered by carbon materials with various dimensionalities, namely 0D fullerenes and carbon capsules, 1D CNTs and GNRs, 2D graphene, 3D graphite and amorphous carbon, and the

TABLE 1 Typical applications of carbon materials in lithium batteries.

Carbon material	Application	Advantage	Disadvantage
0D fullerenes	Active material	Precise structure	High cost
	Li storage	High capacity	Low capacity
	Protective film	Effective protection	High cost
Carbon capsules	Additive precursor	Effective protection	High cost
	Containment	Shuttle suppression	High cost
		Good conductivity	
GNR derivatives		Volume buffer	
	Conductive host	Good conductivity	High cost
	Adsorbent	Shuttle suppression	High cost
	Li storage	High capacity	High cost
CNT derivatives		Volume buffer	
	Conductive filler	Good conductivity	High cost
	Adsorbent	Shuttle suppression	High cost
	Li conductor	Dendrite suppression	High cost
Graphene derivatives	Current collector	Light weight	High cost
	Conductive host	Good conductivity	High cost
		Catalytic effects	
	Adsorbent	Shuttle suppression	High cost
Graphite	Li storage	High capacity	High cost
		Dendrite suppression	
	Protective film	Effective protection	Limited diffusion
		Low cost	Limited capacity
Porous carbon	Li storage	Good stability	
	Na storage	High capacity	High cost
3D architectures	Conductive host	Effective storage	Weak interaction
		Good conductivity	High cost
	Li storage	Shuttle suppression	
		Volume buffer	High cost
		Dendrite suppression	

derivatives of the aforementioned materials. Employed in the battery's anode, cathode, electrolyte, and separator, their functions include but are not limited to conducting electrons and ions, storing Li, catalyzing electrochemical reactions, and protecting electrodes from undesired reactions. To probe their working mechanism and predict suitable design strategies, such as doping, defects, and structural design, theoretical models are extensively applied to reveal atomistic scenarios in bulk materials and interfaces. Specifically, DFT models are capable of predicting the carbon materials' conductivity, lithiophilicity, mechanical strength, transport energy barrier, charge transfer, and reaction pathway. MD simulations are capable of revealing the spatial distribution of

molecules and coordination structures in bulk and interfaces, as well as their evolution with respect to changes in electrode potentials.

Noteworthily, there are still significant challenges in accurately modeling carbon materials in Li batteries due to limitations in computational power, especially when the scenario becomes more dynamic and less crystalline. Here are some important directions to explore:

1. *Accurate modeling of electrochemically active interfaces.* For instance, it is of great interest to predict SEI properties by modeling interfacial reactions, but this is quite challenging to accomplish with quantum accuracy. Machine learning interatomic potentials, such as

neural-network potentials, will be a powerful option to balance quantum accuracy and simulation timescale.

2. *Extending the research paradigm to battery systems beyond Li storage.* Atomistic scenarios are relatively underexplored when the carbon structure contains a significant degree of amorphism or other elements (such as MXenes), but such structures can be crucial for unlocking higher capacities and can be extended to Na- and K-storing systems.^{187–190}
3. *Employing artificial intelligence to accelerate the discovery of novel carbon materials.* Thanks to the structural diversity of carbon materials and their potential to be engineered into advanced functional materials with nuanced designs, Li battery technology can expect significant inspiration from new breakthroughs in carbon material synthesis and characterization. During this process, knowledge discovery through machine learning based on high-throughput calculations and experiments will greatly assist in predicting working carbon materials for Li batteries, as it has already happened in some other fields including contaminant removal,¹⁹¹ hydrogen energy,¹⁹² and supercapacitors.¹⁹³
4. *Modeling composite materials beyond mere carbon.* While carbon materials afford promising functions in lithium batteries, they are not the only class of materials that serve the purpose. Compositing carbon materials with other auxiliary materials, such as TiO₂,^{194,195} can give full play to the potential of both materials. Theoretical investigations on the interplay between components remain underexplored.

Ultimately, clean energy is essential to sustainable development, and sustainable materials are strongly requested in clean energy systems. It is imperative to consider how to improve sustainability of materials from the perspectives of resources, energy, material recycling, dynamic reconfigurability, digital twins, and so forth. This also indicates unifying the matter–energy–information trinity to promote high-quality development of new energy through continuous theoretical innovation and original research paradigms.

AUTHOR CONTRIBUTIONS

Legeng Yu: Conceptualization, methodology, visualization, software, formal analysis, investigation, writing—original draft. **Xiang Chen:** Writing—review & editing, formal analysis, investigation, funding acquisition. **Nan Yao:** Conceptualization, formal analysis, investigation, writing—review & editing. **Yu-Chen Gao:** Methodology, investigation, writing—review & editing. **Yu-Hang Yuan:** Methodology, investigation, writing—review & editing. **Yan-Bin Gao:** Methodology, investigation,

writing—review & editing. **Cheng Tang:** Writing—review & editing, investigation, funding acquisition. **Qiang Zhang:** Conceptualization, visualization, formal analysis, investigation, writing—review & editing, funding acquisition, supervision.

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CONFLICT OF INTEREST STATEMENT

The authors declare no conflict of interest.

ORCID

Qiang Zhang  <https://orcid.org/0000-0002-3929-1541>

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AUTHOR BIOGRAPHIES



Legeng Yu received his Bachelor's and Master's degree from the University of Toronto in 2018 and 2021, respectively. He is now a PhD candidate in Prof. Qiang Zhang's group in the Department of Chemical Engineering, Tsinghua University. He is interested in battery electrochemistry and strives to understand the physical chemistry of battery interfaces with computational tools.



Xiang Chen gained his bachelor's and PhD degrees from the Department of Chemical Engineering at Tsinghua University in 2016 and 2021, respectively. He is currently an associate professor at Tsinghua University. His research interests focus on understanding the chemical mechanism and materials science in rechargeable batteries.



Qiang Zhang is a professor at Tsinghua University. His current research interests are advanced energy materials, including dendrite-free lithium metal anode, lithium sulfur batteries, and electrocatalysis, especially the structure design and full demonstration of advanced energy materials in

working devices. He is the Editor-in-Chief of EES Batteries, Advisor Editor of *Angew Chem*, Associate Editor of *J Energy Chem*. He is sitting on the advisory board of *Joule*, *Chem Soc Rev*, *Matter*, *InfoMat*, *Adv Funct Mater*, *Adv Energy Mater*, *ChemSusChem*, *J Mater Chem A*, *Chem Commun*, *Energy & Fuels*, and so on.

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